#### File No. 667

### (Reprint of File No. 107)

Substitute Senate Bill No. 497 As Amended by House Amendment Schedule "A"

# Approved by the Legislative Commissioner May 2, 1998

AN ACT REVISING CERTAIN STATUTES IN TITLE 16.

Be it enacted by the Senate and House Representatives in General Assembly convened: Section 16-29 of the general statutes 2 repealed and the following is substituted in lieu 3 thereof: (a) The Department of Public Utility Control 5 shall, annually, on or before December 6 thirty-first, or June thirtieth in a municipality 7 which has adopted a uniform fiscal year, furnish 8 to every municipality or department thereof 9 owning, leasing, operating or managing a plant for 10 the supplying or furnishing of any public utility, 11 blanks for annual reports in such form as the 12 department may prescribe. Each such municipality 13 or department shall return one of such reports to 14 the department on or before the following May 15 thirty-first, or the following October 16 thirty-first in a municipality which has adopted a 17 uniform fiscal year, with all questions thereon 18 fully answered. The department may, for good cause 19 shown, grant an extension of such deadlines of up 20 to sixty days, provided such municipality or 21 department desiring an extension files a request, 22 in writing, setting forth the reasons for such a

23 request. All reports shall be for the year ending 24 December thirty-first, or June thirtieth in a 25 municipality which has adopted a uniform fiscal 26 year and shall be sworn to by the general 27 superintendent of the plant or utility for which 28 the report is required and by such other person or 29 persons as may be designated by such municipality 30 or department. Each such municipality or 31 department shall make such annual reports strictly 32 according to the form provided and, if it finds it 33 impracticable to answer all the items in detail as 34 required, shall state in the report the reasons 35 why such details 35 why such details cannot be given. No such 36 municipality or department shall be excused from 37 giving such details for the reason that it does 38 not keep its accounts in such manner as will 39 enable it to do so. The department may prescribe 40 the method for keeping the accounts pertaining to 41 such utility and all other utilities reporting to 42 the department. When any such report seems to the 43 department defective or erroneous, it may notify 44 the municipality making the same and require the 45 amendment of such report within fifteen days from 46 the time of giving such notice; and the department 47 may examine the officers, agents, employees, 48 books, records, accounts, vouchers, plant and 49 equipment of such municipality or department 50 pertaining to such utility and may correct such 51 items in such report as, upon such examination, 52 the department may find ought to be corrected. (b) A MUNICIPAL UTILITY ESTABLISHED UNDER 53 54 CHAPTER 101 OF  $\mathtt{THE}$ GENERAL STATUTES, 55 MUNICIPAL ELECTRIC OR GAS UTILITY OWNED, LEASED, 56 MAINTAINED, OPERATED, MANAGED OR CONTROLLED BY ANY 57 UNIT OF LOCAL GOVERNMENT UNDER THE GENERAL 58 STATUTES OR A SPECIAL ACT, MAY WITHHOLD 59 PUBLIC DISCLOSURE UNDER THE FREEDOM OF INFORMATION 60 ACT, AS DEFINED IN SECTION 1-18a OF THE GENERAL 61 STATUTES, AS AMENDED BY SECTION 1 OF PUBLIC ACT 62 97-47, ANYINFORMATION OR COMPILATION 63 INFORMATION WHICH GIVES THE HOLDER OF SUCH 64 INFORMATION OR COMPILATION OF INFORMATION AN 65 OPPORTUNITY TOOBTAIN ANADVANTAGE OVER 66 COMPETITORS WHO DO NOT KNOW USE OR SUCH 67 INFORMATION OR COMPILATION OF INFORMATION, AND IS 68 NOT OTHERWISE SUBJECT TO PUBLIC DISCLOSURE BY 69 REASON OF ANY OTHER LAW OR REGULATION AND WHICH:

- 70 (1) IS PREPARED BY OR FOR THE UTILITY, OR 71 PROVIDED TO THE UTILITY BY A CUSTOMER FOR THE 72 PURPOSE OF PROVIDING SERVICE; OR
- (2) CONCERNS OFFERS OR NEGOTIATIONS TO PROVIDE
- 74 SERVICE TO A CUSTOMER; OR
- 75 (3) CONCERNS A UTILITY'S BUSINESS STRATEGY FOR 76 PROVIDING SERVICE.

\* \* \* \* \*

"THE FOLLOWING FISCAL IMPACT STATEMENT AND BILL ANALYSIS ARE PREPARED FOR THE BENEFIT OF MEMBERS OF THE GENERAL ASSEMBLY, SOLELY FOR PURPOSES OF INFORMATION, SUMMARIZATION AND EXPLANATION AND DO NOT REPRESENT THE INTENT OF THE GENERAL ASSEMBLY OR EITHER HOUSE THEREOF FOR ANY PURPOSE."

# FISCAL IMPACT STATEMENT - BILL NUMBER sSB 497

STATE IMPACT None, see explanation below

MUNICIPAL IMPACT None, see explanation below

STATE AGENCY(S) None

#### EXPLANATION OF ESTIMATES:

STATE AND MUNICIPAL IMPACT: Passage of this bill as amended allows municipal utilities to withhold certain information from public disclosure under the Freedom of Information Act. There is no fiscal impact associated with these changes.

House "A" strikes the original bill which modified the procedures the Department of Public Utility Control must follow in conducting its preliminary investigation of a whistle blowing complaint from a utility employee. There was no fiscal impact associated with the original bill. The amendment adds non-disclosure provisions for municipal utilities and there is no fiscal impact.

\* \* \* \* \*

#### OLR AMENDED BILL ANALYSIS

sSB 497 (File 107, as amended by House "A")\*

## AN ACT REVISING CERTAIN STATUTES IN TITLE 16

**SUMMARY:** This bill allows municipal utilities to withhold certain information from disclosure under the Freedom of Information Act if disclosure is not required by other law. They can withhold information

that gives its holder an opportunity to gain an advantage over competitors who do not have the information and which: (1) is prepared by or for the utility or provided to the utility for the purpose of providing service, (2) concerns offers or negotiations to provide service, or (3) concerns a utility's business strategy for providing service.

\*House Amendment "A" strikes the original bill, which changed the procedures the Department of Public Utility Control must follow and the standards it must use in investigating whistleblowing complaints from a utility employee, and adds the Freedom of Information Act provision.

EFFECTIVE DATE: October 1, 1998

#### COMMITTEE ACTION

Energy and Technology Committee

Joint Favorable Substitute Yea 16 Nay 0